

Deligne-Serre lifting lemma

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We'll prove the following lemma.

Theorem 1. (*Deligne-Serre lifting Lemma*) *Let \mathfrak{D} be a discrete valuation ring of fraction field K , maximal ideal \mathfrak{m} and residue field $k = \mathfrak{D}/\mathfrak{m}$. Let M be a finite free \mathfrak{D} -module and \mathcal{T} be a set of commuting family of \mathfrak{D} -endomorphism of M . Let $f \in M/\mathfrak{m}M = M \otimes k$ a nonzero common eigenvector for $T \in \mathcal{T}$ with eigenvalue $a_T \in k$. Then there exists a discrete valuation ring \mathfrak{D}' containing and finite over \mathfrak{D} , with maximal ideal \mathfrak{m}' such that $\mathfrak{D} \cap \mathfrak{m}' = \mathfrak{m}$ and nonzero element f' in*

$$M' = \mathfrak{D}' \otimes_{\mathfrak{D}} M$$

which is a common eigenvector with eigenvalue $a_{T'}$ such that $a_{T'} \equiv a_T \pmod{\mathfrak{m}'}$.

Let \mathcal{H} be a subalgebra of $\text{End}(M)$ generated by \mathcal{T} . Since M is a free \mathfrak{D} -module of rank r , $\text{End}(M)$ is free \mathfrak{D} -module of rank r^2 . So as a subalgebra of $\text{End}(M)$, \mathcal{H} is also free \mathfrak{D} -module of finite rank. Choosing a basis for \mathcal{H} , we may assume \mathcal{T} is a finite set $\mathcal{T} = \{T_1, T_2, \dots, T_n\}$. Let m_{T_i} be a minimal polynomial for T_i . We can find a finite field extension K' of K so that every m_{T_i} splits. By replacing K, \mathfrak{D} by K', \mathfrak{D}' , we may assume $K \otimes \mathcal{H}$ is an artinian ring with every residue field is K .

Let $\chi : \mathcal{H} \rightarrow k$ be a homomorphism such that $h \cdot f = \chi(h)f$ for all $h \in \mathcal{H}$.

¹ Since \mathcal{H} is free over \mathfrak{D} , there exists a prime ideal $\mathfrak{p} \subseteq \mathcal{H}$ contained in a

¹ $T \cdot f = \overline{T(\bar{f})} = a_T \bar{f}, a \cdot f = \bar{a}f$ where $a \in \mathfrak{D}$ and $\bar{a} = a \pmod{\mathfrak{m}} \in \mathfrak{D}/\mathfrak{m}$. This is well-defined since $T(mM) = mT(M) \subset mM$

maximal ideal $\ker(\chi)$ and $\mathfrak{p} \cap \mathfrak{D} = 0$ ² Note that \mathcal{H}/\mathfrak{p} is a finitely generated \mathfrak{D} -module and also a domain containing \mathfrak{D} . Using this \mathfrak{p} , define

$$\chi' : \mathcal{H} \rightarrow \mathfrak{D}$$

Then we have $\chi \equiv \chi' \pmod{m}$. ³ The prime ideal \mathfrak{P} of $K \otimes \mathcal{H}$ generated by \mathfrak{p} belongs to the support of $K \otimes M$, which is equivalent that it contains the annihilator of $K \otimes M$. Here're some facts from the commutative algebra

Definition 0.0.1. *Let R be a ring and let M be an R -module. A prime P of R is associated to M if P is the annihilator of an element of M . The set of all primes associated to M is written $\text{Ass}_R(M)$ or simply $\text{Ass}(M)$.*

Definition 0.0.2. *The support of M , written $\text{Supp}(M)$ is the set of prime ideals such that $M_P \neq 0$ If M is a finitely generated R -module and P is a prime of R , $P \in \text{Supp}(M)$ iff P contains the annihilator of M .*

Fact 1. *Let R be a Noetherian ring and M be a finite R -module. Then the set of minimal elements of $\text{Ass}(M)$ and $\text{Supp}(M)$ coincide*

By this fact, we have $\mathfrak{P} \in \text{Ass}(K \otimes M)$. So we have a nonzero element $f'' \in K \otimes M$, which is annihilated by \mathfrak{P} , that is, $hf'' = \chi'(h)f''$. Then one can take f' as nonzero multiple of f'' .

Comments We have commuting endomorphisms T_1, \dots, T_n . Extending K , we may assume every eigenvalues are in K . Find a basis (e_1, e_2, \dots, e_r) of M so that matrix for each T_i is upper triangular.

- References**
1. Deligne, P., Serre, J-P. : Formes modulaires de poids 1. Ann. Scient. Ec. Norm, Sup., 4^e série, 7, 507-530(1974)
 2. David Eisenbud, Commutative Algebra with a View Toward Algebraic Geometry

²If R is a commutative ring with 1, then R has minimal prime ideal and such prime ideal is a subset of the zero-divisors of R . Since \mathcal{H} is free over \mathfrak{D} , element of \mathfrak{D} is not a zero divisor

³This follows from $\chi'(\ker\chi) \subseteq m$ which is a consequence of the lying over theorem.